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07/25/03

PTO/SB/05 (06-03)  
Approved for use through 07/31/2003. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. BAT 0021 V2 (B-12438DIV2)  
First Inventor James Darryl Browning  
Title METHOD FOR MAKING A BLOCKED AMINE  
Express Mail Label No. EV 318233661 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 66]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets \_\_\_\_\_]
5. Oath or Declaration [Total Sheets 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Reader Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Official Communication

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/776,489

Prior application information:

Examiner David E. Aylward

Art Unit: 1712

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number: 23368 OR ☐ Correspondence address below

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City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Joan N. Williams

Registration No. (Attorney/Agent)

52,364

Signature

Joan N. Williams

Date

07/25/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

10/627958  
07/25/03



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : James Darryl Browning et al.

Title : METHOD FOR MAKING A BLOCKED AMINE

Docket No. : BAT 0021 V2 (B-12438DIV2)

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

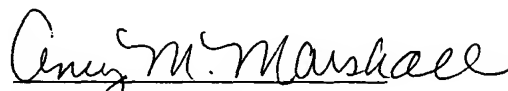
Sir:

EV318233661US

**"Express Mail" Mailing Label Number EV 318233661 US**

**Date of Deposit July 25, 2003**

**I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

  
Amy M. Marshall  
Legal Secretary

/amm

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : James Darryl Browning et al.  
Serial No. : To be assigned  
Filed: : July 25, 2003  
Title : METHOD FOR MAKING A BLOCKED AMINE  
Docket No. : BAT 0021 V2 (B-12438DIV2)

MAIL STOP PATENT APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

OFFICIAL COMMUNICATION

This Official Communication is in regard to a divisional patent application filed herewith, which claims priority to currently pending patent application serial no. 09/776,489 filed February 2, 2001.

This divisional application is being filed in the present case by filing a new specification with new claims, along with the oath or declaration from the prior (parent) application pursuant to 37 CFR 1.53(b). The text of the newly filed specification includes changes from the specification originally filed in the prior parent patent application from which this divisional claims priority.

The text of the newly filed specification includes the following changes from the specification originally filed in the prior parent patent application from which this divisional claims priority:

- Change in Title; and
- Addition of "CROSS REFERENCE TO RELATED APPLICATIONS" section

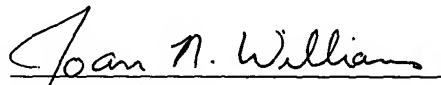
The changes made thereto do not introduce new matter. Rather, the changes are presented for contextual purposes, to provide clarity, and to incorporate changes made during the prosecution of the prior, parent application.

Attorney Docket No. – BAT 0021 V2 (B-12438DIV2)

The applicants respectfully submit that the claims or the present divisional application represent allowable subject matter. The Examiner is encouraged to contact the undersigned to resolve efficiently any formal matters or to discuss any aspects of the application or of this Official Communication. Otherwise, early notification of allowable subject matter is respectfully solicited.

Respectfully submitted,

KILLWORTH, GOTTMAN, HAGAN  
& SCHAEFF, L.L.P.

By   
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